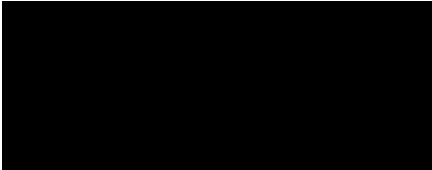


ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

December 03, 2020



Reference: **FR2020-1023-0481/E**

Org Id: 22333

1. Riskalyze Client Facing Video
Rule: FIN 2210
5 Minutes

Total Fee: \$125



A revision is necessary for the material submitted to be consistent with applicable standards.

Pursuant to FINRA Rule 2210(d)(3)(A), the material must be revised to prominently disclose the member name.

Reviewed by,

Meredith B. Mesa Meadows
Associate Principal Analyst

hrm

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.



***NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*